



**1. APPLICATION FOR MEMBERSHIP**

TYPE OF MEMBERSHIP PLEASE TICK WHERE APPLICABLE

- a. Broker Membership
- b. Trade Membership

**2. CLEARING MEMBERSHIP**

a. Does the Applicant intend to apply to Dubai Commodities Clearing Corporation (DCCC) to be a Clearing Member?  
YES  NO

b. If "Yes", please attach a written authorisation for DGCX to share the information contained in this application with DCCC.

**3. PRESENT STATUS OF APPLICANT**

Does the Applicant entity exist at the present time? YES  NO

If "Yes", please answer the remaining questions on behalf of the Applicant entity. If "No", please answer the remaining questions on the basis of the intended structure, shareholding and capitalisation of the entity. DGCX may grant provisional approval for membership on the basis of this information. In that case DGCX will require written evidence that the Applicant entity has been incorporated, and confirmation of shareholding, financial status and other details before membership rights are granted.

**4. DETAILS OF APPLICANT**

a. Full Name:

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b. Type of Organisation:

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i. Corporation organised under the law of:

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ii. Partnership:

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c. Date of Incorporation:

Please attach notarised & attested copy of certificate of incorporation

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d. Place of Incorporation:

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e. Registration Number:

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f. Details of any trade licence held:

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g. Registered Office address:

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P.O. Box / Postal Code:

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h. Telephone Number:

i. Fax Number:

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j. Mailing Address (if different to that of Registered Office):

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P.O. Box / Postal Code:

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k. E-mail address:

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l. Website Address:

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m. Contact person: (Please provide name, designation, telephone number, mobile number and email address)

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5. DESCRIPTION OF APPLICANT'S BUSINESS

- a. Broking:  b. Banking:  c. Manufacturing:   
 d. Commodities Trading:  e. Processing:  f. Currency Exchange House:   
 g. Other (Please specify):

h. Countries from which the applicant will conduct business on DGCX:

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6. SHAREHOLDERS/ PARTNERS OF APPLICANT (please provide the details as an attachment)

a. In the case of a corporation, please attach:

i. the names and addresses of all shareholders who own at least 5% of the issued shares in the corporation, together with the number of shares and percentage held by each,

ii. a notarised and attested copy of the Memorandum and Articles of Association, and

iii. notarised and attested copies of Board Resolutions seeking membership of DGCX, appointment of directors' as authorised signatory(ies) and authorised representative(s)

b. In the case of a partnership, please attach:

i. a notarised & attested copy of partnership agreement

ii. a copy of partners' resolution notarised & attested seeking Membership of DGCX, authorizing the Managing Partner(s) as authorised signatory(ies) and appointment of authorised representative(s)

7. PLEASE PROVIDE DETAILS OF DIRECTORS, PARTNERS AND COMPLIANCE OFFICER OF THE APPLICANT.(DETAILS AS PER ANNEXURE A)

8. PLEASE PROVIDE DETAILS OF PERSON(S) (NOT MORE THAN 2) AUTHORISED TO REPRESENT THE APPLICANT IN RELATION TO DEALINGS WITH DGCX. (DETAILS AS PER ANNEXURE A)  
 THE LIST OF AUTHORIZED SIGNATORIES (DETAILS AS PER ANNEXURE D)  
 (THIS DOES NOT INCLUDE PERSONS AUTHORIZED TO TRADE ON DGCX ON BEHALF OF THE APPLICANT).

9. MEMBERSHIP OF OTHER EXCHANGES

a. Please provide details of memberships of commodities and/or securities exchanges held by the Applicant or by a party related to the Applicant.

	Name of Exchange	Name of Member	Member Since (Date)
1			
2			
3			

b. Has the Applicant or a related party ever been denied membership or clearing privileges by any commodity or securities exchange or clearing organization, or have any membership or clearing privileges ever been suspended or terminated?  
 YES  NO

If yes please provide all the relevant details:

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## 10. MEMBERSHIP OF TRADE ASSOCIATIONS

Please provide details of membership of trade associations held by the Applicant or by a party related to the Applicant.

	Name of the Trade Association	Name of Member	Member Since (Date)
1			
2			
3			

## 11. TRADING EXPERIENCE IN RELATED MARKETS (please provide the details as an attachment)

Please attach a statement indicating the experience of the Applicant and/or parties related to the Applicant (including Directors, Partners, Officers and employees) in precious metals, currencies and/or commodities market, including both physical and derivatives market, whether as a principal or on behalf of clients.

Please also include a statement describing the contribution that the Applicant proposes to make to DGCX and its markets, in precious metals, currencies and/or other commodities.

## 12. BANK DETAILS

a. Please provide details of bank account(s) held by the Applicant.

	1	2	3
Name of Bank:			
Full Address:			
Account Number:			
Account Type:			
Operational Since (date):			

b. Please provide written references from one or more banks relating to the Applicant or to parties related to the Applicant. (Details as per annexure B)

## 13. AUDITOR DETAILS

a. Please attach the name, address and telephone numbers of the Applicant's auditor.

b. If the auditor has changed in the last three years please attach names, addresses and telephone numbers of previous auditors during this period.

## 14. FINANCIAL DETAILS

a. Please attach copies of audited annual financial statements for the most recent three years for which these are available.

b. By what date is it expected that the next annual audited financial statement will be available?

## 15. STATUTORY/ REGULATORY OVERSIGHT

a. Is the Applicant or any party related to the Applicant licensed by a statutory/ regulatory authority in relation to commodities or securities markets? YES  NO

b. If "Yes", please provide details.

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c. Has the Applicant or a related party ever been denied registration, or had a registration suspended, revoked, or conditioned by a governmental or regulatory authority? YES  NO

d. If "Yes", please provide details.

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#### 16. STATEMENT BY APPLICANT

We hereby apply for Broker Membership/ Trade Membership of DGCX. We agree to be bound by DGCX By-Laws and Clearing Rules of DCCC in its current form and as amended from time to time, and to pay fees as provided for in the DGCX By-Laws.

We acknowledge that any misstatement or misrepresentation or withholding of facts in connection with this application for membership or breach of any undertaking or condition of admission to membership may result in rejection of our application or termination of our membership. We hereby state that the above-mentioned particulars are correct and complete to the best of our knowledge.

Name of the Applicant:

Signature(s):	1	2
Names of the Authorised Signatory(ies):	1	2
Designation of the Signatory(ies):	1	2

Date/Place:

Note: Authorised signatory, as detailed below shall sign the application form:

- 1) In case of partnership firm its Managing Partner(s).
- 2) In case of corporate, signatory(ies) as authorised by the Board.

#### 17. APPLICATION FEE / ADMISSION FEE

A non-refundable Application fee of USD 1000 must accompany the application. The Application must also be accompanied with the applicable membership fee; which will be returned in full if the application is not approved. Requests for refund of membership fees deposited may be considered for refund at the Exchange's discretion whilst the application is in progress. Please note that fees paid become non-refundable once an application is approved for membership.

Payments may be made through telegraphic transfer to any of the DGCX bank accounts as per details given below:

Dubai Gold and Commodities Exchange DMCC A/C No:- 020775342100 IBAN:- AE020200000020775342100 SWIFT CODE:- BBMEAEAD BANK :- HSBC	Dubai Gold and Commodities Exchange DMCC A/C No:- 1022244808101 IBAN:- AE700260001022244808101 SWIFT CODE:- EBILAEAD BANK :- Emirates NBD
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Please provide payment details of the banks through which telegraphic transfer has been affected:

Amount Paid:

Name of Bank:

Date:

Reference Number:

(Please fax the SWIFT copy to Membership Department at +9714 361 1617)

Please write or call DGCX Membership Department at the following contact details for any further clarifications:

Membership Department  
Dubai Gold and Commodities Exchange  
Jumeirah Lakes Towers (JLT), Gold Tower (AU), Level UP (Floor 37),  
Sheikh Zayed Road, P.O. Box 37736, Dubai, UAE  
Tel: +9714 361 1616, Fax: +9714 361 1617  
Email: membership@dgcx.ae



right time. right place



[www.dgcx.ae](http://www.dgcx.ae)

The Dubai Gold and Commodities Exchange (DGCX) is a financial and commodity derivatives exchange located in Dubai, the United Arab Emirates. DGCX commenced trading in November 2005 as the Middle East and North Africa (MENA) region's first derivatives exchange. The Exchange is an initiative of the Dubai Multi Commodities Centre (DMCC), Financial Technologies (India) Limited and the Multi Commodity Exchange of India Limited (MCX).

Dubai's time zone is midway between the Far East and Europe which attracts global investors to trade on DGCX. Dubai's strategic location allows market participants to trade extended trading hours at DGCX and integrates both the regional and international markets.

